

NORTH WEST LEICESTERSHIRE DISTRICT COUNCIL

AUDIT AND GOVERNANCE COMMITTEE – 23 SEPTEMBER 2015

Title of report	REVIEW OF CORPORATE GOVERNANCE POLICIES
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Purpose of report	To receive the committee's comments on the Anti-Fraud and Corruption and Anti-Money Laundering Policies.
Council Priorities	Value for Money
Implications:	
Financial/Staff	The adoption of these policies has no financial or staffing implications in itself.
Link to relevant CAT	N/A
Risk Management	Fraud risks are identified and monitored through the business planning process.
Equalities Impact Assessment	N/A
Human Rights	None
Transformational Government	No direct implications
Consultees	Internal Audit
Background papers	None
Recommendations	THAT THE COMMITTEE PROVIDES ANY COMMENTS IT MAY HAVE FOR CONSIDERATION BY CABINET WHEN IT MEETS ON 20 OCTOBER 2015 TO CONSIDER THE POLICIES.

1.0 INTRODUCTION

1.1 This report is to consult Audit and Governance Committee as a result of the review of two of the Council's Corporate Governance policies:

1. Anti-Fraud and Corruption Policy (Appendix 1)
2. Anti-Money Laundering Policy (Appendix 2).

2.0 BACKGROUND

2.1 The Council is responsible for ensuring that its business is conducted in accordance with the law and appropriate standards. In discharging this responsibility the Council has in place arrangements for governance of its affairs and staff.

2.2 The following documents constitute the Council's suite of Corporate Governance policies:

- Anti-Fraud and Corruption Policy;
- National Fraud Initiative Fair Processing Notice;
- Confidential Reporting (Whistleblowing) Policy;
- Anti-Money Laundering Policy;
- Regulation of Investigatory Powers Act (RIPA) Policy;
- ICT Security Policy;
- Internet and Email Conditions of Use;
- Risk Management Strategy; and
- Local Code of Corporate Governance.

3.0 REVIEW OF GOVERNANCE POLICIES

3.1 The Anti-Fraud and Corruption and Anti-Money Laundering Policies have been reviewed to ensure they remain fit for purpose, reflect current legislation and best practice. Amendments have been made to both policies and are attached as Appendix 1 and 2 for review.